

a water secure world

The GCF Readiness and Preparatory Support Programme

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What is the Readiness programme?



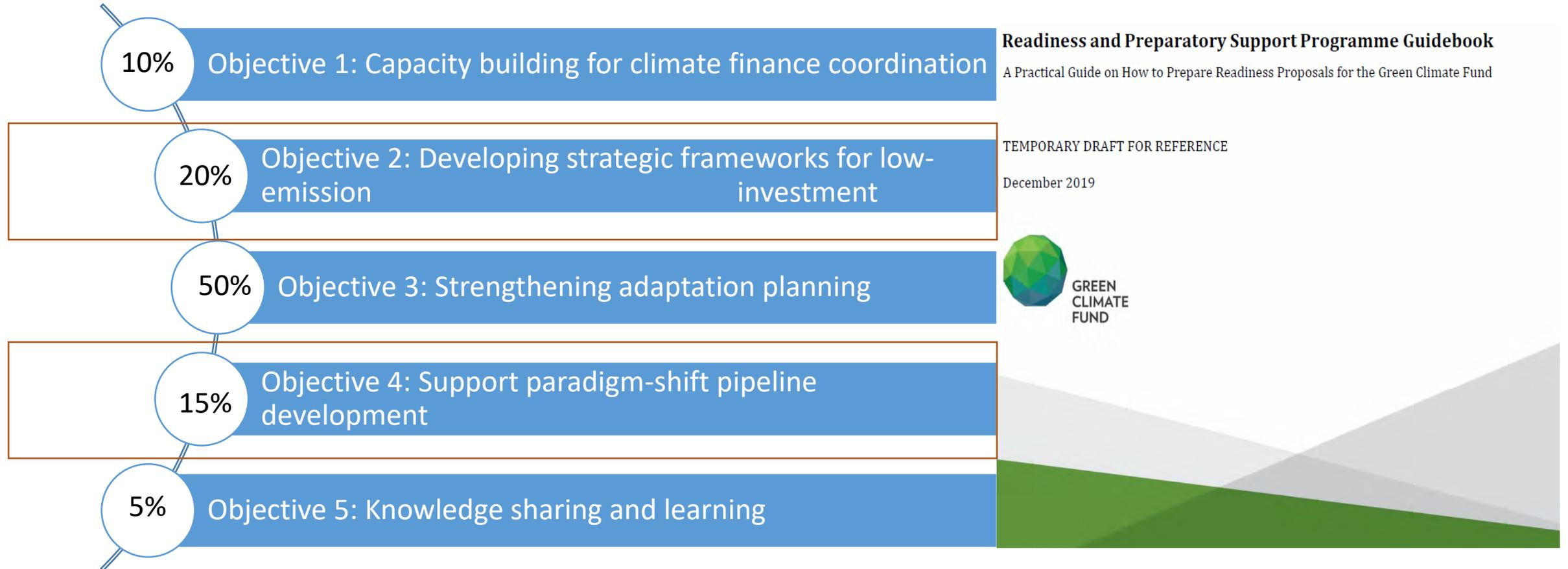
- Readiness programme is a funding window under the GCF directed at providing resources to enable countries to access the Fund
- Launched in 2014, initially focused on NDA strengthening, country programming and Direct Access Entity (DAE) accreditation
- NAP planning support added in 2016
- Revised strategy in 2019

Number of readiness requests approved	Number of countries covered	Total resources approved for readiness (USD)
433	138	283.9m

Guiding principles of Readiness Strategy 2019 -2021

- Build readiness support based on identified needs
- Increase flexibility for NDAs to address particular gaps
- Move from basic (NDA strengthening) to more advanced support (strategic frameworks, pipeline strengthening)
- Tap economies of scale (regional/multiple-year requests)

Objectives that the readiness programme aims to achieve



Readiness programme resources



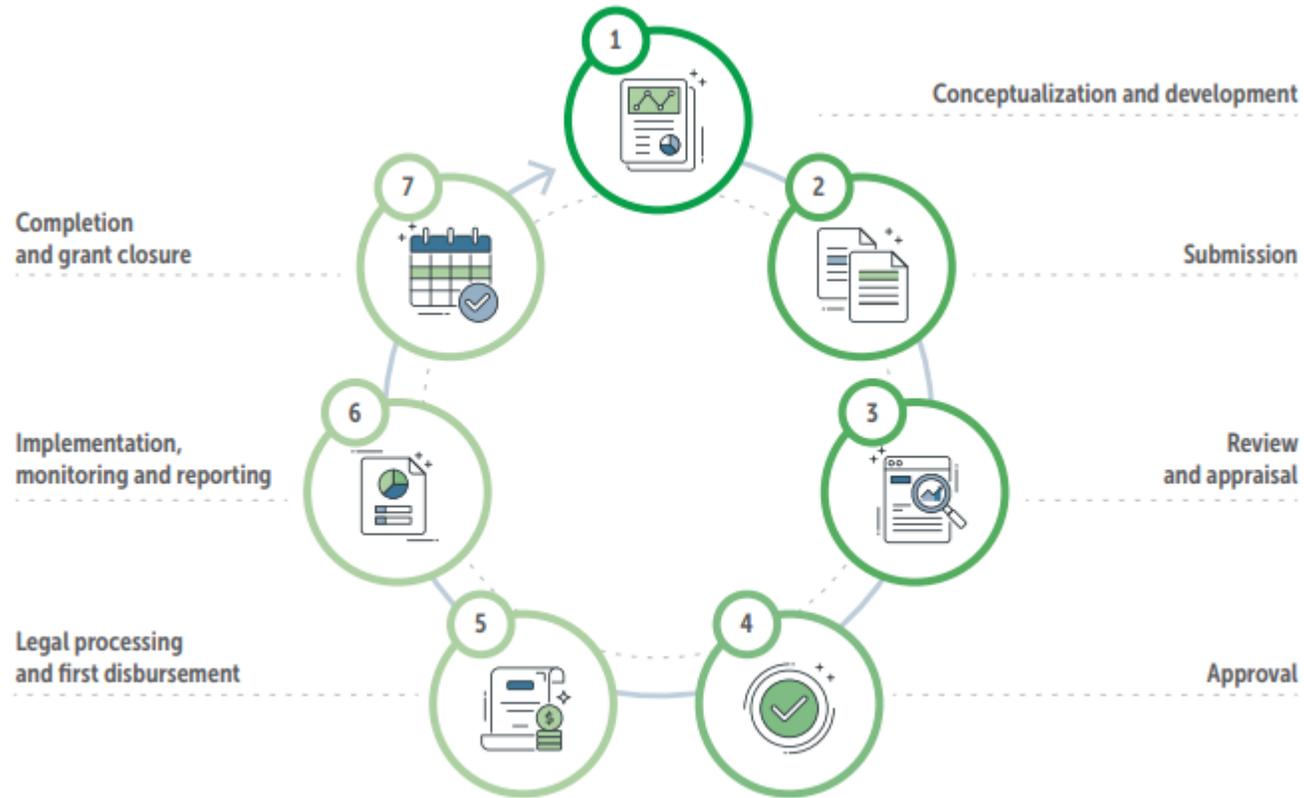
- USD 1 million /year per country, up to USD 3 million/3 years
 - For Objectives 1,2,4 and 5
 - Cap can be split across multiple projects
- USD 3 million for NAP per country (one-off)
 - For objective 3

Main stakeholders



- National Designated Authority or Focal Points
 - Serve as the interface between the country and the fund
 - Submit the Readiness proposal
- Delivery Partner
 - Selected by NDA to implement activities approved under Readiness
 - Meet the financial management capacity requirements of GCF
- Other stakeholders
 - E.g. government departments, Direct Access Entities, etc

Submission Process



Review criteria

1. Country Ownership

2. Theory of change

3. Avoidance of duplication

4. Gender consideration

5. Private sector engagement strategy

6. Monitoring and evaluation

7. Complementarity and coherence with other funds

8. Stakeholder engagement

9. Plan to address specific vulnerabilities and climate impacts (Only for objective 3)

10. Adaptation financing strategy (only for objective 3)

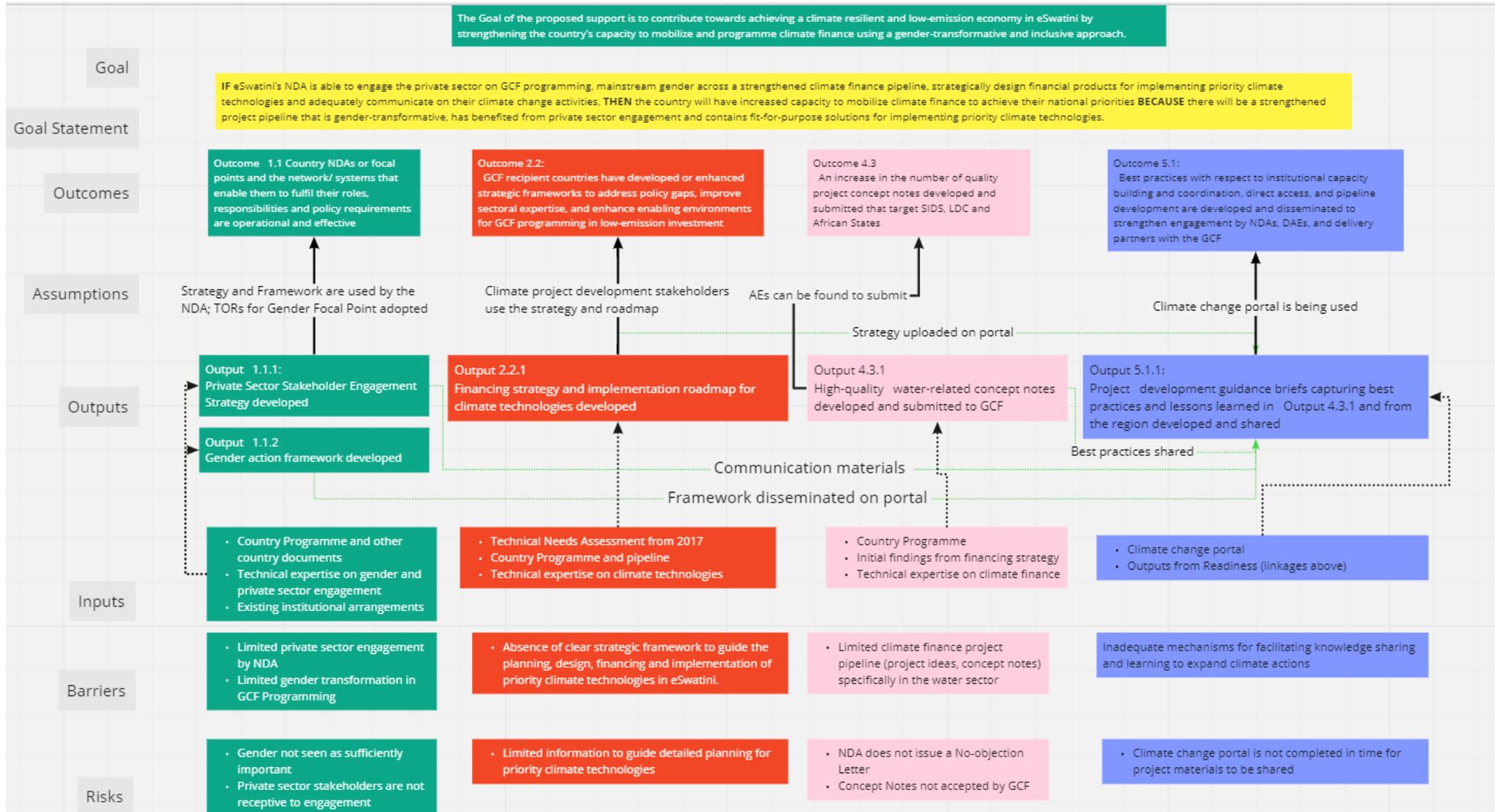
11. Budget and procurement

12. Result based management

Theory of Change

Goal	The goal is an impact-level change that the grant activities will contribute to achieving. In the context of the Readiness programme, it is important to always consider how the goal is aligned Readiness Programme objectives.
Goal Statement	The goal statement is structured in the “IF ... THEN ... BECAUSE...” format and explains the causal linkages between the outcomes, outputs and the goal that the grant will help achieve.
Outcomes	An outcome statement describes longer-term and specific changes in conditions, policies, or organizational structure and are measured a year or several years after project completion. Predetermined by GCF and MUST be retained as is
Outputs	An output statement highlights what the Readiness proposal intends to achieve in the short-term due to activities. Develop outputs that, taken together, can lead to the desired outcomes.
Inputs	Inputs refer to the national climate priorities (e.g., NDC, Country Programme), deliverables of previous grants, and other information (e.g., needs assessments) that will contribute to the effective implementation of grant activities
Barriers	Perceived and potential barriers that have stymied progress or advancement against the stated outcomes of the intended activities
Assumptions & Risks	<p>Assumptions are the necessary conditions (e.g., inter-ministerial buy-in) to be in place or complementary actions (e.g. successful recruitment of consultants) to ensure that the proposed activities are successfully implemented in order to achieve the stated outcomes</p> <p>Risks are the potential or perceived events that will prohibit the efficient and effective implementation of proposed activities (e.g., natural disaster risks disrupting implementation)</p>

Readiness ToC Example: eSwatini



GWPO Readiness Portfolio



- 12 Active Support Projects
 - 1 in implementation (NAP support in Zambia, Objective 3)
 - 5 Projects received feedback from GCF
 - Spanning Africa and Asia
- GWP Method of Engagement
 - Internal development
 - Rapid turnaround
 - Partnership
 - Country-ownership

Multi-county Readiness Project



1. Submit a Letter of Support confirming that all NDAs agree to the readiness proposal and will be active and engaged participants.

2. All relevant NDAs and the delivery partner should agree on priority outcomes, activities and the budget.

3. A lead NDA for a multi-country proposal should be nominated.

4. All participating NDAs should submit a letter of financial support indicating the amount of their annual cap they will contribute to the overall proposal budget.

5. The budget breakdown per country is entirely the decision of the participating NDAs; it does not have to be equal amounts.

6. Multi-country proposals may seek support for objective 1,2,4 and 5 and not for objective 3.

Additional resources

- Readiness and preparatory support programme guidebook

<https://www.greenclimate.fund/document/readiness-and-preparatory-support-guidebook>

- Readiness Webinar

<https://www.greenclimate.fund/video/webinar-updated-readiness-and-preparatory-support-programme>

- Readiness Website

<https://www.greenclimate.fund/readiness>

Thank you!